

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau(43) International Publication Date
1 March 2007 (01.03.2007)

PCT

(10) International Publication Number
WO 2007/024238 A1(51) International Patent Classification:
A61F 2/28 (2006.01)

(74) Agent: HALE, John, S.; Gipple & Hale, 6665-A Old Dominion Drive, McLean, VA 22101 (US).

(21) International Application Number:
PCT/US2005/030610

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(22) International Filing Date: 26 August 2005 (26.08.2005)

(25) Filing Language: English

(26) Publication Language: English

(71) Applicants: MUSCULOSKELETAL TRANSPLANT FOUNDATION [US/US]; Edison Corporate Center, Suite 300, 125 May Street, Edison, NJ 08837 (US). MASSACHUSETTS INSTITUTE OF TECHNOLOGY [US/US]; 77 Massachusetts Avenue, Cambridge, MA 02139-4307 (US).

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

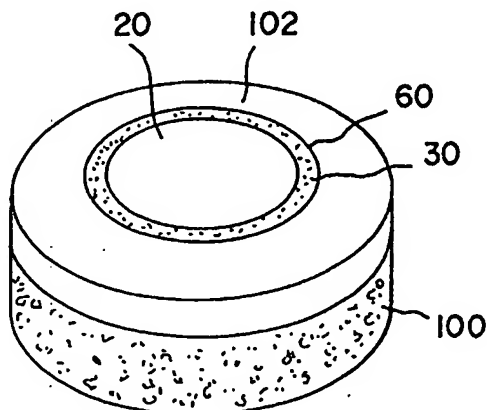
(72) Inventors: VUNJAK-NOVAKOVIC, Gordana; 305 Fitzmaurice Circle, Belmont, MA 02478 (US). TRUNCALÉ, Katherine, Ann, Gomes; 114 Meadowbrook Drive, Hillsborough, NJ 08844 (US). SUNWOO, Moon Hae; 28 Lakeview Drive, Old Tappan, NJ 07675 (US). GERTZMAN, Arthur, A.; 17 Biggs Place, Flemington, NJ 08822 (US). TOMFORD, William, W.; 72 Pinehurst Road, Belmont, MA 02478 (US).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: CARTILAGE IMPLANT ASSEMBLY AND METHOD FOR IMPLANTATION



(57) Abstract: The invention is directed toward a cartilage repair assembly comprising a shaped structure of subchondral bone with an integral overlying cartilage cap which is treated to remove cellular debris and proteoglycans and milled cartilage in a bioabsorbable carrier. The shaped structure is dimensioned to fit in a drilled bore in a cartilage defect area so that said shaped bone and cartilage cap when centered in the bore does not engage the side wall of the bore in an interference fit and is surrounded by milled cartilage and carrier. A method for inserting the assembly into a cartilage defect area is disclosed.

WO 2007/024238 A1

WO 2007/024238

PCT/US2005/030610

1

CARTILAGE IMPLANT ASSEMBLY AND
METHOD FOR IMPLANTATION

RELATED APPLICATIONS

There is no related application.

FIELD OF INVENTION

The present invention is generally directed toward a surgical implant and is more specifically directed toward an implant for a joint having a cartilage face and bone body for implantation in a shoulder, hip, elbow, ankle, knee or temporomandibular joint.

BACKGROUND OF THE INVENTION

Articular cartilage injury and degeneration present medical problems to the general population which are constantly addressed by the orthopedic surgeon. Every year in the United States, over 500,000 arthroplastic or joint repair procedures are performed. These include approximately 125,000 total hip and 150,000 total knee arthroplasties and over 41,000 open and arthroscopic procedures to repair cartilaginous defects of the knee. Chen et al. "Repair of Articular Cartilage Defects: Part 1, Basic Science of Cartilage Healing, American Journal of Orthopaedics 1999, Jan: 31-33.

In the knee joint, the articular cartilage tissue forms a lining which faces the joint cavity on one side and is linked to the subchondral bone plate by a narrow layer of calcified cartilage tissue on the other. Articular cartilage (hyaline cartilage) consists primarily of extracellular matrix with a sparse population of chondrocytes distributed throughout the tissue. Articular cartilage is composed of chondrocytes, type II collagen fibril network, proteoglycans and water. Active chondrocytes are unique in that they have a relatively low turnover rate and are sparsely distributed within the surrounding matrix. The collagens give the tissue its form and tensile strength and the interaction of proteoglycans with water give the tissue its stiffness for compression, resilience and durability. The hyaline cartilage provides a low friction bearing surface over the bony parts of the joint. If the lining becomes worn or damaged resulting in lesions, joint movement may be painful or severely restricted. Whereas damaged bone typically can regenerate successfully, hyaline cartilage regeneration is quite limited because of its limited regeneration and reparative abilities.

Articular cartilage lesions generally do not heal, or heal only partially under certain biological conditions due to the lack of nerves, blood vessels and a lymphatic system. The limited reparative capabilities of hyaline cartilage generally results in the generation of repair tissue that

WO 2007/024238

PCT/US2005/030610

2

lacks the structure and biomechanical properties of normal cartilage. Generally, the healing of the defect results in a fibrocartilaginous repair tissue that lacks the structure and biomedical properties of hyaline cartilage and degrades over the course of time. Articular cartilage lesions are frequently associated with disability and with symptoms such as joint pain, locking phenomena and reduced or disturbed function. These lesions are difficult to treat because of the distinctive structure and function of hyaline cartilage. Such lesions are believed to progress to severe forms of osteoarthritis. Osteoarthritis is the leading cause of disability and impairment in middle-aged and older individuals, entailing significant economic, social and psychological costs. Each year, osteoarthritis accounts for as many as 39 million physician visits and more than 500,000 hospitalizations. By the year 2020, arthritis is expected to affect almost 60 million persons in the United States and to limit the activity of 11.6 million persons. Jackson et al., "Cartilage Substitute, Overview of Basic Science and Treatment Options", *Journal of American Academy of Orthopedic Surgeons*, 2001, 9:37-52.

There are many current therapeutic methods being used. None of these therapies has resulted in the successful regeneration of hyaline-like tissue that withstands normal joint loading and activity over prolonged periods. Currently, the techniques most widely utilized clinically for cartilage defects and degeneration are not articular cartilage substitution procedures, but rather lavage, arthroscopic debridement, and repair stimulation. The direct transplantation of cells or tissue into a defect and the replacement of the defect with biologic or synthetic substitutions presently accounts for only a small percentage of surgical interventions. The optimum surgical goal is to replace the defects with cartilage-like substitutes so as to provide pain relief, reduce effusions and inflammation, restore function, reduce disability and postpone or alleviate the need for prosthetic replacement.

Lavage and arthroscopic debridement involve irrigation of the joint with solutions of sodium chloride, Ringer or Ringer and lactate. The temporary pain relief is believed to result from removing degenerative cartilage debris, proteolytic enzymes and inflammatory mediators. These techniques provide temporary pain relief, but have little or no potential for further healing.

Repair stimulation is conducted by means of drilling, abrasion arthroplasty or microfracture. Penetration into the subchondral bone induces bleeding and fibrin clot formation which promotes initial repair, however, the tissue formed is fibrous in nature and not durable. Pain relief is temporary as the tissue exhibits degeneration, loss of resilience, stiffness and wear characteristics over time.

The periosteum and perichondrium have been shown to contain mesenchymal progenitor cells capable of differentiation and proliferation. They have been used as grafts in both animal and

WO 2007/024238

PCT/US2005/030610

3

human models to repair articular defects. Few patients over 40 years of age have obtained good clinical results, which most likely reflects the decreasing population of osteochondral progenitor cells with increasing age. There have also been problems with adhesion and stability of the grafts, which result in their displacement or loss from the repair site.

Transplantation of cells grown in culture provides another method of introducing a new cell population into chondral and osteochondral defects. Carticel® is a commercial process to culture a patient's own cartilage cells for use in the repair of cartilage defects in the femoral condyle and is marketed by Genzyme Biosurgery in the United States and Europe. The procedure uses arthroscopy to take a biopsy from a healthy, less loaded area of knee articular cartilage. Enzymatic digestion of the harvested tissue releases the cells that are sent to a laboratory where they are grown for a period ranging from 2-5 weeks. Once cultivated, the autologous cells are injected during a more open and extensive knee procedure into areas of defective cartilage where it is hoped that they will facilitate the repair of damaged tissue. An autologous periosteal flap with cambium layer is used to seal the transplanted cells in place and act as a mechanical barrier. Fibrin glue is used to seal the edges of the flap. Proponents of this procedure report that it produces satisfactory results, including the ability to return to demanding physical activities, in more than 80% of patients and that biopsy specimen of the tissue in the graft sites show hyaline-like cartilage repair. However, long term studies of this procedure in rabbits and dogs showed limited success and showed degradation at the implant site. The original study report has been criticized for not being a prospective controlled randomized study and for lack of quantitative or mechanical. Of interest, a 14 year follow-up of a similar patient group that underwent diagnostic arthroscopy in combination with one of several treatments (removal of bone bodies, shaving, Pride drilling) had good to excellent knee function in 78% of the patients. Thus, further studies are needed to assess the function and durability of the new tissue to determine whether it improves joint function and delays or prevents joint degeneration.

As with the perichondrial graft, patient/donor age may compromise the success of this procedure as chondrocyte population decreases with increasing age. Disadvantages to this procedure include the need for two separate surgical procedures, potential damage to surrounding cartilage when the periosteal patch is sutured in place, the requirement of demanding complex microsurgical techniques, and the expensive cost of the procedure which is currently not covered by insurance.

Osteochondral transplantation or mosaicplasty involves excising all injured or unstable tissue from the articular defect and creating cylindrical holes in the base of the defect and underlying bone. These holes are filled with autologous cylindrical plugs of healthy cartilage and

WO 2007/024238

PCT/US2005/030610

4

bone in a mosaic fashion. The osteochondral plugs are harvested from a lower weight-bearing area of lesser importance in the same joint. This technique, shown in Prior Art Figure 2, can be performed as arthroscopic or open procedures. Reports of results of osteochondral plug autografts in a small numbers of patients indicate that they decrease pain and improve joint function. Factors that can compromise the results include donor site morbidity, effects of joint incongruity on the opposing surface of the donor site, damage to the chondrocytes at the articular margins of the donor and recipient sites during preparation and implantation, and collapse or settling of the graft over time. The limited availability of sites for harvest of osteochondral autografts restricts the use of this approach to treatment of relatively small articular defects and the healing of the chondral portion of the autograft to the adjacent articular cartilage remains a concern.

Transplantation of large allografts of bone and overlying articular cartilage is another treatment option that involves a greater area than is suitable for autologous cylindrical plugs, as well as for a non-contained defect. The advantages of osteochondral allografts are the potential to restore the anatomic contour of the joint, lack of morbidity related to graft harvesting, greater availability than autografts and the ability to prepare allografts in any size to reconstruct large defects. Clinical experience with fresh and frozen osteochondral allografts shows that these grafts can decrease joint pain, and that the osseous part of an allograft can heal to the host bone and the chondral part can function as an articular surface. Drawbacks associated with this methodology in the clinical situation include the scarcity of fresh donor material and problems connected with the handling and storage of frozen tissue. Fresh allografts carry the risk of immune response or disease transmission. Musculoskeletal Transplant Foundation (MTF) has preserved fresh allografts in a media that maintains a cell viability of 50% for 35 days for use as implants. Frozen allografts lack cell viability and have shown a decreased amount of proteoglycan content which contribute to deterioration of the tissue.

A number of United States Patents have been specifically directed towards bone plugs which are implanted into a bone defect. Examples of such bone plugs are U.S. Patent Number 4,950,296 issued August 21, 1990 which discloses a bone graft device comprising a cortical shell having a selected outer shape and a cavity formed therein for receiving a cancellous plug, and a cancellous plug fitted into the cavity in a manner to expose at least one surface; U.S. Patent Number 6,039,762 issued March 21, 2000 having a cylindrical shell with an interior body of deactivated bone material and U.S. Patent Number 6,398,811 issued June 4, 2002 directed to a bone spacer which has a cylindrical cortical bone plug with an internal throughgoing bore designed to hold a reinforcing member. U.S. Patent Number 6,383,211 issued May 7, 2002 discloses an intervertebral implant having a substantially cylindrical body with a throughgoing bore dimensioned

WO 2007/024238

PCT/US2005/030610

5

to receive bone growth materials.

U.S. Patent Number 6,379,385 issued April 30, 2002 discloses an implant base body of spongy bone material into which a load carrying support element is embedded. The support element can take the shape of a diagonal cross or a plurality of cylindrical pins. See also, U.S. Patent Number 6,294,187 issued September 25, 2001 which is directed to a load bearing osteoimplant made of compressed bone particles in the form of a cylinder. The cylinder is provided with a plurality of throughgoing bores to promote blood flow through the osteoimplant or to hold a demineralized bone and glycerol paste mixture. U.S. Patent Number 6,096,081 issued August 1, 2000 shows a bone dowel with a cortical end cap or caps at both ends, a brittle cancellous body and a throughgoing bore.

A number of patents in the prior art show the use of bone putty, pastes or gels to fill bone defects. U.S. Patent Number 5,290,558 issued March 1, 1994 discloses a flowable demineralized bone powder composition using an osteogenic bone powder with large particle size ranging from about 0.1 to about 1.2 cm. mixed with a low molecular weight polyhydroxy compound possessing from 2 to about 18 carbons including a number of classes of different compounds such as monosaccharides, disaccharides, water dispersible oligosaccharides and polysaccharides.

Another such bone gel is disclosed in the U.S. Patent Number 5,073,373 issued December 17, 1991. Bone lamellae in the shape of threads or filaments retaining low molecular weight glycerol carrier are disclosed in U.S. Pat. Numbers 5,314,476 issued May 24, 1994 and 5,507,813 issued April 16, 1996 and the tissue forms described in these patents are known commercially as the GRAFTON® Putty and Flex, respectively.

U.S. Patent Number 5,356,629 issued October 18, 1994 discloses making a rigid gel in the nature of a bone cement to fill defects in bone by mixing biocompatible particles, preferably polymethylmethacrylate coated with polyhydroxyethylmethacrylate in a matrix selected from a group which lists hyaluronic acid to obtain a molded semi-solid mass which can be suitably worked for implantation into bone. The hyaluronic acid can also be utilized in monomeric form or in polymeric form preferably having a molecular weight not greater than about one million Daltons. It is noted that the nonbioabsorbable material which can be used to form the biocompatible particles can be derived from xenograft bone, homologous bone, autogenous bone as well as other materials. The bioactive substance can also be an osteogenic agent such as demineralized bone powder, morselized cancellous bone, aspirated bone marrow and other autogenous bone sources. The average size of the particles employed is preferably about 0.1 to about 3.0 mm, more preferably about 0.2 to about 1.5 mm, and most preferably about 0.3 to about 1.0 mm. It is inferentially mentioned but not taught that particles having average sizes of about 7,000 to 8,000

WO 2007/024238

PCT/US2005/030610

6

microns, or even as small as about 100 to 700 microns can be used.

U.S. Patent Number 4,172,128 issued October 23, 1979 discloses a demineralized bone material mixed with a carrier to reconstruct tooth or bone material by adding a mucopolysaccharide to a mineralized bone colloidal material. The composition is formed from a demineralized coarsely ground bone material, which may be derived from human bones and teeth, dissolved in a solvent forming a colloidal solution to which is added a physiologically inert polyhydroxy compound such as mucopolysaccharide or polyuronic acid in an amount which causes orientation when hydrogen ions or polyvalent metal ions are added to form a gel. Example 25 of the patent notes that mucopolysaccharides produce pronounced ionotropic effects and that hyaluronic acid is particularly responsible for spatial cross-linking

U.S. Patent Number 6,030,635 issued February 29, 2000 and U.S. Patent Number 6,437,018 issued August 20, 2002 are directed toward a malleable bone putty and a flowable gel composition for application to a bone defect site to promote new bone growth at the site which comprises a new bone growth inducing compound of demineralized lyophilized allograft bone powder. The bone powder has a particle size ranging from about 100 to about 850 microns and is mixed in a high molecular weight hydrogel carrier which contains a sodium phosphate saline buffer.

The use of implants for cartilage defects is much more limited. Aside from the fresh allograft implants and autologous implants, U.S. Patent Number 6,110,209 issued November 5, 1998 shows the use an autologous articular cartilage cancellous bone paste to fill arthritic defects. The surgical technique is arthroscopic and includes debriding (shaving away loose or fragmented articular cartilage), followed by morselizing the base of the arthritic defect with an awl until bleeding occurs. An osteochondral graft is then harvested from the inner rim of the intercondylar notch using a trephine. The graft is then morselized in a bone graft crusher, mixing the articular cartilage with the cancellous bone. The paste is then pushed into the defect and secured by the adhesive properties of the bleeding bone. The paste can also be mixed with a cartilage stimulating factor, a plurality of cells, or a biological glue. All patients are kept non-weight bearing for four weeks and used a continuous passive motion machine for six hours each night. Histologic appearance of the biopsies have mainly shown a mixture of fibrocartilage with hyaline cartilage. Concerns associated with this method are harvest site morbidity and availability, similar to the mosaicplasty method.

U.S. Patent Number 6,379,367 issued April 30, 2002 discloses a plug with a base membrane, a control plug, and a top membrane which overlies the surface of the cartilage covering the defective area of the joint.

WO 2007/024238

PCT/US2005/030610

7

SUMMARY OF THE INVENTION

A cartilage allograft construct assembly comprising a plug with a bone base and cartilage cap for treating articular cartilage defects. The plug is used together with a milled cartilage paste which surrounds the plug in a bore which has cut into the patient to remove the lesion area. The process for inserting the construct plug is to arthroscopically remove one or more osteochondral plugs from the defect area. A small amount of biological glue is inserted into the defect and the plug is inserted into the surgically created cylindrical defect. The plug is then positioned so that it is flush and covered with paste or putty. Additives may be applied to the assembly in order to increase chondrocyte migration and proliferation. Stem cells or chondrocytes may also be applied to the construct to restore the matrix. Each allograft construct can support the addition of a variety of chondrogenic stimulating factors including, but not limited to growth factors (FGF-2, FGF-5, IGF-1, TGF- β , BMP-2, BMP-7, PDGF, VEGF), human allogenic or autologous chondrocytes, human allogenic or autologous bone marrow cells, demineralized bone matrix, insulin, insulin-like growth factor-1, transforming growth factor-B, interleukin-1 receptor antagonist, hepatocyte growth factor, platelet-derived growth factor, Indian hedgehog and parathyroid hormone-related peptide or bioactive glue.

The implant is placed in a bore or hole cut in the patient to remove the lesion area and the milled cartilage paste is used to fill the space not occupied by the plug.

It is an object of the invention to provide an allograft implant for joints which provide pain relief, restores normal function and will postpone or alleviate the need for prosthetic replacement.

It is also an object of the invention to provide a cartilage repair implant which is easily placed by the surgeon using an arthroscopic, minimally invasive technique.

It is further an object of the invention to provide an allograft implant procedure which is applicable for both partial and full thickness lesions.

It is an additional object of the invention to provide implant designs and paste formulations that satisfy surgical requirements and are made from available allograft tissue, some of which would otherwise be considered waste and thrown away.

These and other objects, advantages, and novel features of the present invention will become apparent when considered with the teachings contained in the detailed disclosure along with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

WO 2007/024238

PCT/US2005/030610

8

Figure 1 shows the anatomy of a knee joint;

Figure 2 shows a schematic mosaicplasty as known in the prior art;

Figure 3 shows a schematic perspective view of a cylindrical allograft osteochondral plug assembly with a cartilage paste or putty in a defect site;

Figure 4 shows a perspective view of the osteochondral plug used in Figure 3;

Figure 5 shows a perspective view of another embodiment of a oval shaped allograft osteochondral plug assembly with a cartilage paste or putty in a defect site;

Figure 6 shows a perspective view of the oval osteochondral plug used in Figure 5;

Figure 7 shows a schematic perspective view of another embodiment of a scalloped shaped allograft osteochondral assembly with a cartilage paste or putty in a defect site;

Figure 8 shows a perspective view of a scalloped shaped osteochondral plug used in Figure 7;

Figure 9 shows a schematic perspective view of another embodiment of a cruciate shaped allograft osteochondral assembly with a cartilage paste or putty in a defect site; and

Figure 10 shows a perspective view of a cruciate shaped osteochondral plug used in Figure 9.

DESCRIPTION OF THE INVENTION

The terms "tissue" is used in the general sense herein to mean any transplantable or implantable tissue, the survivability of which is improved by the methods described herein upon implantation. In particular, the overall durability and longevity of the implant are improved, and host-immune system mediated responses, are substantially eliminated.

The terms "transplant" and "implant" are used interchangeably to refer to tissue, material or cells (xenogeneic or allogeneic) which may be introduced into the body of a patient to replace or supplement the structure or function of the endogenous tissue.

The terms "autologous" and "autograft" refer to tissue or cells which originate with or are derived from the recipient, whereas the terms "allogeneic" and "allograft" refer to cells and tissue which originate with or are derived from a donor of the same species as the recipient. The terms "xenogeneic" and "xenograft" refer to cells or tissue which originates with or is derived from a species other than that of the recipient.

The term "gel" refers to a formable mixture of minced or milled pretreated allograft cartilage in a biocomposite carrier having a viscosity which is less than and is less rigid than a mixture of minced or milled pretreated allograft cartilage in a biocompatible carrier referred to by the terms "putty" or "paste" and contains less cartilage by weight than the putty or paste.

WO 2007/024238

PCT/US2005/030610

9

The present invention is directed towards cartilage repair using an osteochondral plug assembly and method of treatment. The preferred embodiment and best mode of the invention is shown in Figures 3 and 4. In the production of the invention, an allograft plug 20 having a subchondral bone body 22 and an overlying cap 24 of hyaline cartilage is treated to remove cellular material, chondrocytes and pluripotent mesenchymal cells and proteoglycans freezing same -20° C to -80° C, and lyophilized reducing its water content.

In the treatment for cell and proteoglycan extraction the plug 20 was soaked in hyaluronidase (type IV-s, 3mg/mL), trypsin (0.25% in monodibasic buffer 3 ml) and the samples were placed in a test tube from 2 - 18 hours at 37° C with sonication. It was found that sonication is not a necessary requirement and the times of soaking vary with concentration of hyaluronidase and trypsin and can be as little as 2 hours. The above method of soaking has been previously used on human tissue and is set forth in the Journal of Rheumatology, 12:4, 1985 by Gust Verbruggen et al titled Repair Function in Organ Cultured Human Cartilage Replacement of Enzymatically Removed Proteoglycans During Longterm Organ Culture. After repeated washes with sterile DI water, the hydrated plug samples and cartilage were frozen at -70° C and lyophilized to reduce water content within a range of about 0.1% to about 8.0%. In an alternative usage, the plug samples and cartilage were frozen after processing.

The osteochondral plug 20 which has been treated as noted above is placed in a bore or core 60 which has been cut in the lesion area of the bone 100 of a patient with the upper surface of the cartilage cap 24 being proud or substantially flush with the surface of the cartilage 102 remaining at the area being treated. The length of the osteochondral plug 20 is preferably the same as the depth of the bore 60 so that the base of the plug implant is supported and the articular cartilage cap 24 is level with the articular cartilage 102. With such load bearing support the graft surface is not damaged by excess weight or bearing loads known to cause micromotion interfering with the graft interface producing fibrous tissue interfaces and subchondral cysts.

The plug 20 is movable within bore 60 while resting on the base of the bore 60 and if centered in the bore 60 does not touch the side walls of the bore or if touching does not have an interference fit. The osteochondral plug 20 which is referred to as a plug is also envisioned in various shapes namely, a cylindrical shape 21 as shown in Figure 4, an oval shape 31 as shown in Figures 5 and 6, a scalloped shape 41 as shown in Figures 7 and 8 and a cruciate shape 51 as shown in Figures 9 and 10.

The remainder of the implant area is filled with a milled or minced cartilage mixture 30 having a size generally less than 1 mm of putty or gel together with a biological carrier and one or more of the following additives. The additives are one or more of chondrogenic stimulating

WO 2007/024238

PCT/US2005/030610

10

factors including, but not limited to growth factors (FGF-2, FGF-5, IGF-1, TGF- β , BMP-2, BMP-7, PDGF, VEGF), human allogenic or autologous chondrocytes, human allogenic cells, human allogenic or autologous bone marrow cells, human autologous and allogenic human stem cells, demineralized bone matrix, insulin, insulin-like growth factor-1, interleukin-1 receptor antagonist, hepatocyte growth factor, platelet-derived growth factor, Indian hedgehog and parathyroid hormone-related peptide.

If desired demineralized or partially demineralized bone powder having a size range from 200 to 850 microns with a weight ranging from 1% to 35% of the cartilage mixture can be added to the milled cartilage mixture 30.

Suitable organic glue material can be used to keep the implant fixed in place (centered) or positioned as desired in the implant area. Suitable organic glue material can be found commercially, such as for example TISSEEL® or TISSUCOL.® (fibrin based adhesive; Immuno AG, Austria), Adhesive Protein (Sigma Chemical, USA), and Dow Corning Medical Adhesive B (Dow Corning, USA), fibrinogen, thrombin, elastin, collagen, casein, albumin, keratin and the like.

Example 1: A non-viable or decellularized osteochondral plug consisting of a subchondral bone base and overlying cartilage cap is treated with a solution or variety of solutions to remove the cellular debris as well as the proteoglycans as noted in the treatment described above. It is believed that this removal provides signaling to stimulate the surrounding chondrocytes and also the host's bone marrow and other mesenchymal stem cells to migrate into the graft to proliferate and form new proteoglycans and other factors producing new matrix. The diameter or diagonal of the plug ranges from 1 mm to 30 mm but is preferably 4 mm to 10 mm which is small enough to fit through the endoscopic cannula, but large enough to minimize the number of plugs needed to fill large defects. This size provides good results at the recipient site and provides a more confluent hyaline surface. The thickness of subchondral bone can be modified to match the anatomy of the patient so that the surface cartilage of the plug will be even with and follow the surface cartilage of the host tissue. The treated plug also creates a more porous matrix, which allows more cells to enter. This plug and minced hyaline cartilage can be stored frozen or freeze dried and support any of the mentioned chondrogenic stimulating factors. The plug can be inserted arthroscopically similar to the mosaicplasty procedure or through an open incision. The plug can be made in various dimensions depending on the size of the defect being treated.

This design uses the allograft cartilage putty or gel as a biological glue in a prepackaged amount to hold the osteochondral plug in place and to fill the space between the plugs in larger defects that require more than one plug. The putty or gel enhances the tissue integration between

WO 2007/024238

PCT/US2005/030610

11

the plug and host tissue. Preferably, the plug has a smaller diameter or cross section than the bore of the debrided cartilage defect. The milled or minced cartilage putty or gel is injected into the defect after the plug or plugs are inserted or can be injected before insertion of the plug(s). The putty or gel fills the space between the plug and the sides of the defect. Thus, the plug or plugs initially are moveable in the defect bore area. For larger defects requiring more than one plug, the putty or gel also fills the space between the plugs. The term putty and paste denote a less flowable mixture and are used interchangeably.

The operation of placing a preshaped allograft implant assembly in a cartilage defect, utilizes a subchondral bone and an overlying cartilage cap plug which has been treated to remove cellular debris and proteoglycans and milled cartilage in a carrier. The steps of the operation are: (a) drilling a hole which can be in the form of a cylindrical bore in a patient at a site of a cartilage defect, a depth which equal to the length of the bone and cartilage cap plug implant, (b) placing a preshaped osteochondral plug having a cross section which is less than the cross sectional area of the cylindrical bore with a length which is equal to or slightly greater than the depth of the bore allowing the structure to be moveable within said bore in the cylindrical hole; and (c) placing a mixture of milled cartilage in a bioabsorbable carrier in the drilled cylindrical hole around the preshaped osteochondral plug. Alternately the plug may be fixed in position in the cylindrical hole through the use of a biological glue.

The principles, preferred embodiments and modes of operation of the present invention have been described in the foregoing specification. However, the invention should not be construed as limited to the particular embodiments which have been described above. Instead, the embodiments described here should be regarded as illustrative rather than restrictive. Variations and changes may be made by others without departing from the scope of the present invention as defined by the following claims:

WO 2007/024238

PCT/US2005/030610

12

What we claim is:

1. A cartilage repair assembly for repair of a defect in an articular cartilage comprising an allograft bone plug having a subchondral bone and an overlying cartilage cap, said allograft bone plug having been treated to remove cellular debris and proteoglycans and an allograft milled cartilage mixture in a biocompatible carrier surrounding at least a portion of a side wall of said allograft bone plug.
2. A cartilage repair assembly as claimed in claim 1 wherein said allograft bone plug is cylindrically shaped.
3. A cartilage repair assembly as claimed in claim 1 wherein said allograft bone plug has an oval shaped cross section.
4. A cartilage repair assembly as claimed in claim 1 wherein said allograft bone plug has a cruciate shaped cross section.
5. A cartilage repair assembly as claimed in claim 1 wherein said allograft bone plug has a scalloped shaped cross section.
6. A cartilage repair assembly as claimed in claim 2 wherein said allograft bone plug has a diameter ranging from 1mm to 30mm.
7. A cartilage repair assembly as claimed in claim 2 wherein said allograft bone plug has a diameter ranging from about 4mm to about 10mm.
8. A cartilage repair assembly as claimed in claim 1 wherein said milled cartilage is hyaline cartilage.
9. A cartilage repair assembly as claimed in claim 1 wherein said milled cartilage is fibrocartilage.
10. A cartilage repair assembly as claimed in claim 1 wherein said milled cartilage is a mixture of fibrocartilage and hyaline cartilage.

WO 2007/024238

PCT/US2005/030610

13

11. A cartilage repair assembly as claimed in claim 1 including an additive consisting of one or more of a group consisting of growth factors, human allogenic cells, human autologous bone marrow cells, human allogenic bone marrow cells, stem cells, demineralized bone matrix, cartilage, and insulin.
12. A cartilage repair assembly as claimed in claim 11 wherein said demineralized bone matrix comprises bone powder having a size ranging from 200 to 850 microns and a weight ranging from 1% to 35% of the cartilage mixture.
13. A cartilage repair assembly comprising a sterile shaped structure of subchondral bone with an integral overlying cartilage cap, said shaped structure being dimensioned to fit in a drilled bore in a cartilage defect area so that said shaped bone and cartilage cap when centered in the bore does not engage the side wall of the bore in an interference fit, said shaped structure being treated to remove cellular debris and proteoglycans and sterile milled cartilage pieces mixed in a carrier surrounding said bone plug in said bore.
14. A cartilage repair assembly as claimed in claim 13 wherein said milled cartilage pieces are sized less than 1mm.
15. A cartilage repair assembly as claimed in claim 13 wherein said cartilage is allograft cartilage.
16. A cartilage repair assembly as claimed in claim 13 wherein said cartilage is autologous cartilage.
17. A cartilage repair assembly as claimed in claim 13 wherein said shaped structure has a shape taken from a group consisting of a cylinder, an oval, a cruciate, and scallop.
18. A cartilage repair assembly as claimed in claim 13 wherein said milled cartilage pieces and carrier includes an additive taken from one or more of a group consisting of growth factors, human allogenic cells, human bone autologous marrow cells, human allogenic bone marrow cells, stem cells, demineralized bone matrix, cartilage, and insulin.

WO 2007/024238

PCT/US2005/030610

14

19. A cartilage repair assembly as claimed in claim 18 wherein said demineralized bone matrix comprises bone powder having a size ranging from 200 to 850 microns and a weight ranging from 1% to 35% of the cartilage mixture.
20. A cartilage repair assembly as claimed in claim 13 wherein said carrier includes a bioabsorbable carrier consisting of one or more of a group consisting of sodium hyaluronate, gelatin, collagen, chitosan, alginate, buffered PBS, Dextran or polymers.
21. A cartilage repair assembly as claimed in claim 13 wherein said milled cartilage is hyaline cartilage.
22. A cartilage repair assembly as claimed in claim 13 wherein said milled cartilage is fibrocartilage.
23. A cartilage repair assembly as claimed in claim 13 wherein said milled cartilage is a mixture of fibrocartilage and hyaline cartilage.
24. A cartilage repair assembly comprising a sterile shaped structure of subchondral bone and overlying integral cartilage cap, said shaped structure been dimensioned to fit in a drilled bore in a cartilage defect are so that said shaped bone and hyaline cartilage cap when centered in the bore can be rotated in said bore, said bone plug being treated to remove cellular debris and proteoglycans and sterile milled cartilage pieces mixed in a bioabsorbable carrier surrounding at least a portion of a side wall of shaped structure.
25. A cartilage repair assembly as claimed in claim 24 wherein said milled cartilage pieces are sized less than 1mm.
26. A cartilage repair assembly as claimed in claim 24 wherein said cartilage is hyaline allograft cartilage.
27. A cartilage repair assembly as claimed in claim 24 wherein said milled cartilage is fibrocartilage.

WO 2007/024238

PCT/US2005/030610

15

28. A cartilage repair assembly as claimed in claim 24 wherein said milled cartilage is a mixture of fibrocartilage and hyaline cartilage.

29. A cartilage repair assembly as claimed in claim 24 wherein said cartilage is autologous cartilage.

30. A cartilage repair assembly as claimed in claim 24 wherein said shaped structure has a shape taken from a group consisting of a cylinder, an oval, a cruciate, and scallop.

32. A cartilage repair assembly as claimed in claim 24 wherein said milled cartilage pieces and carrier include an additive taken from one or more of a group consisting of growth factor, human allogenic cells, human bone marrow cells, human autologous bone marrow cells, demineralized bone matrix, cartilage, and insulin.

33. A cartilage repair assembly as claimed in claim 24 wherein said demineralized bone matrix comprises bone powder having a size ranging from 200 to 850 microns and a weight ranging from 1% to 35% of the cartilage mixture.

34. A cartilage repair assembly as claimed in claim 24 wherein said bioabsorbable carrier is one or more of a group consisting of sodium hyaluronate, gelatin, collagen, chitosan, alginate, buffered PBS, Dextran or polymers.

35. A cartilage repair assembly kit comprising a sterile shaped structure of allograft subchondral bone and an overlying cartilage cap, said structure being treated to remove cellular debris and proteoglycans and housed in a first sterile container and milled allograft cartilage pieces mixed in a carrier housed in a second sterile container, said first and second sterile containers being packaged together.

36. A cartilage repair assembly kit as claimed in claim 35 wherein said cartilage pieces are allograft hyaline cartilage.

37. A cartilage repair assembly kit as claimed in claim 35 wherein said carrier includes an additive taken from one or more of a group consisting of growth factors, human allogenic cells,

WO 2007/024238

PCT/US2005/030610

16

human allogenic bone marrow cells, human autologous bone marrow cells, stem cells, demineralized bone matrix, cartilage, and insulin.

38. A cartilage repair assembly kit as claimed in claim 35 wherein said carrier is a bioabsorbable carrier taken from a group consisting of sodium hyaluronate, gelatin, collagen, chitosan, alginate, buffered PBS, Dextran or polymers.

39. A method of placing a preshaped allograft implant assembly in a cartilage defect, said assembly comprising a subchondral bone and an overlying cartilage cap plug which has been treated to remove cellular debris and proteoglycans and minced cartilage in a carrier comprising the steps of:

- (a) drilling a hole in a patient at a site of a cartilage defect, a depth which equal to or less than the length of the bone and cartilage cap plug implant;
- (b) placing a preshaped osteochondral plug having a cross section which is less than the cross sectional area of the hole with a length which equal to the depth of the hole allowing the structure to be moveable within said bore in the cylindrical hole; and
- (c) placing a mixture of minced cartilage in a bioabsorbable carrier in the drilled cylindrical hole around the preshaped osteochondral plug.

40. A method as claimed in claim 39 wherein said hole is a cylindrical bore.

41. A method as claimed in claim 39 wherein said minced cartilage is allogenic.

42. A method as claimed in claim 39 wherein said minced cartilage is autologous.

43. A method as claimed in claim 39 wherein said assembly includes an additive consisting of one or more of a group consisting of growth factor, human allogenic cells, human bone marrow cells, demineralized bone matrix, cartilage, and insulin.

44. A method as claimed in claim 39 wherein said bioabsorbable carrier is taken from one or more of a group consisting of sodium hyaluronate, gelatin, collagen, chitosan, alginate, buffered PBS, Dextran or polymers.

WO 2007/024238

PCT/US2005/030610

1/2

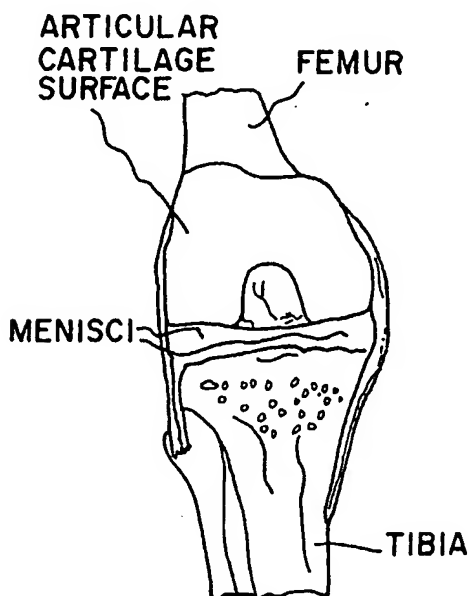


Fig. 1

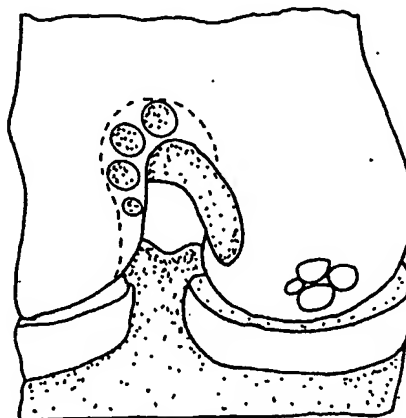


Fig. 2

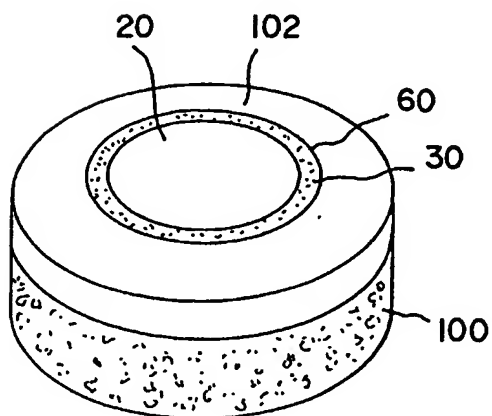


Fig. 3

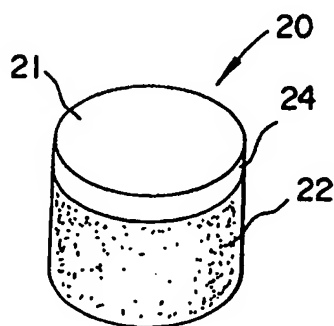
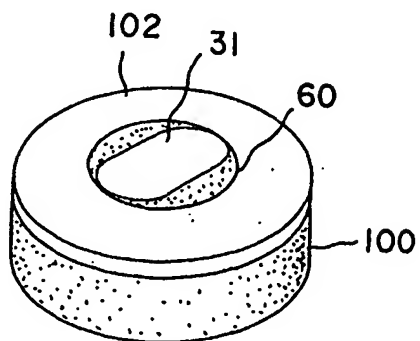
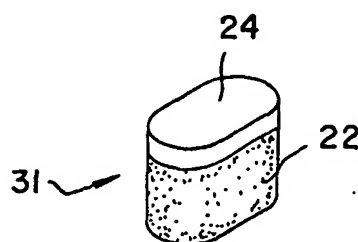
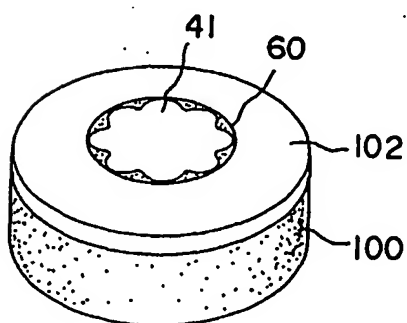
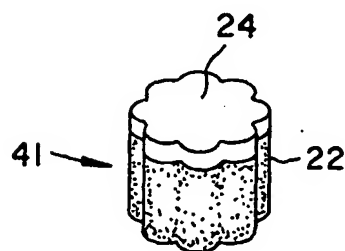
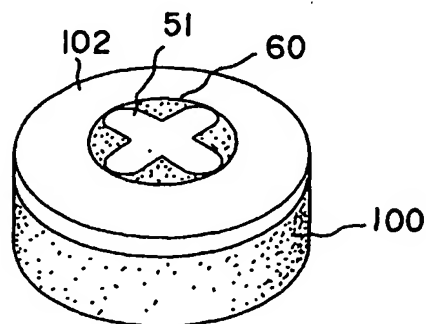
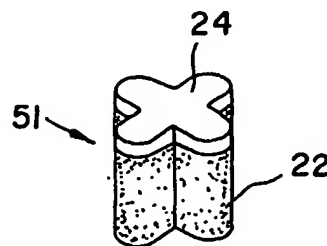


Fig. 4

WO 2007/024238

PCT/US2005/030610

2/2

**Fig. 5****Fig. 6****Fig. 7****Fig. 8****Fig. 9****Fig. 10**

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US05/30610

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - A61F 2/28 (2006.01) USPC - 623/16.11 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC(8): A61F 2/28 (2006.01) USPC: 623/16.11, 17.16, 23.56, 23.63, 11.11, 17.11 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Science Direct*, PubMed		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/0230303 A1 (GOMES et al) 18 November 2004 (18.11.2004) entire document	1-8, 11, 35, 37-44
Y		9, 10, 12-30, 32-34, 36
Y	US 2005/0064042 A1 (VUNJAK-NOVAKOVIC et al) 24 March 2005 (24.03.2005) entire document	9, 10, 12-30, 32-34
Y	US 2004/0219182 A1 (GOMES et al) 04 November 2004 (04.11.2004) paragraph 0034	36
A	WO 2004/103224 A1 (GOMES et al) 02 December 2004 (02.12.2004) entire document	1-30 and 32-44
A	US 4,501,269 A (BAGBY) 26 February 1985 (26.02.1985) entire document	1-30 and 32-44
A	US 6,520,964 B2 (TALLARIDA et al) 18 February 2003 (18.02.2003) entire document	1-30 and 32-44
A	US 2005/0159822 A1 (GRIFFEY et al) 21 July 2005 (21.07.2005) entire document	1-30 and 32-44
A	US 2005/0004672 A1 (PAFFORD et al) 06 January 2005 (06.01.2005) entire document	1-30 and 32-44
A	US 5,195,892 A (GERSBERG) 23 March 1993 (23.03.1993) entire document	1-30 and 32-44
A	US 2003/0036801 A1 (SCHWARTZ et al) 20 February 2003 (20.02.2003) entire document	1-30 and 32-44
A	US 6,767,369 B2 (BOYER II et al) 27 July 2004 (27.07.2004) entire document	1-30 and 32-44
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 03 February 2006		Date of mailing of the international search report 07 APR 2006
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201		Authorized officer: Lee. W. Young <i>SPURIN</i> Telephone No. 571-272-7774

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US05/30610

Box No. II Observations where certain claims were found unsearchable (Continuation of Item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 31
because they relate to subject matter not required to be searched by this Authority, namely:
Claim 31 is missing from the application.
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of Item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.